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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	HO-P01899US3
	First Named Inventor	Huda Y. Zoghbi
	COMPLETE IF KNOWN	
	Application Number	09/980,381 / PCT/US00/15410
	Filing Date	Nov. 30, 2001 / June 1, 2000
	Art Unit	N/A
	Examiner Name	Not Yet Assigned

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOSITIONS AND METHODS FOR THE THERAPEUTIC USE OF AN ATONAL-ASSOCIATED SEQUENCE FOR DEAFNESS, OSTEOARTHRITIS AND ABNORMAL CELL PROLIFERATION

(Title of the Invention)

the specification of which

☐ is attached hereto
 OR

☒ was filed on 11/30/2001 as United States Application Number
09/980,381

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
US00/15410	PC	06/01/2000	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:
Declaration for Utility or Design Patent ApplicationI hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EU098494603US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.
 Dated: Mar. 6, 2002 Signature: Susan Hunter (Susan Hunter)

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to:

Customer Number
or Bar Code Label

26,271

OR ☐

Correspondence address below

Name
**Melissa L. Sistrunk
Fulbright & Jaworski LLP**Address
1301 McKinney, Suite 5100City
HoustonState
TexasZIP
77010-3095Country
USATelephone
713.651.3735Fax
713.651.5246

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:



A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any])**FO****Huda Y.**Family Name
or Surname**Zoghbi**Inventor's
Signature

Date

2/8/2002

Residence: City

HoustonState
TXCountry
USA

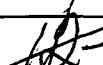
Citizenship

USMailing
Address:**6618 Sewanee**City
HoustonState
TXZIP
77005Country
USA

NAME OF SECOND INVENTOR:



A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any])**Hugo**Family Name
or Surname**Bellen**Inventor's
Signature

Date

2/11/2002

Residence: City

HoustonState
TXCountry
USA

Citizenship

USAMailing
Address:**3411 Mt. Vernon**City
HoustonState
TXZIP
77006Country
USAAdditional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Received: 3/ 4/2002 4:15PM; ->BCOM; #934; Page 3

03/04/02 MON 17:33 FAX 617 859 9292

ATLAS VENTURE

003

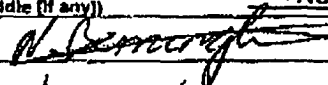
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PTO/SB/02A (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))	Nessan	Family Name or Surname	Bermingham
Inventor's Signature			
Residence: City	LONDON	State	
		Country	USA
		Citizenship	IRISH
Mailing Address:	55 GROSVENOR ST. ATLAS VENTURE MAYFAIR		
City	LONDON	State	
		ZIP	
		Country	ENGLAND
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))	Bassem	Family Name or Surname	Hassan
Inventor's Signature		Date	
Residence: City		Country	USA
		Citizenship	USA
Mailing Address:			
City		State	
		ZIP	
		Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))	Nissim	Family Name or Surname	Ben-ari
Inventor's Signature		Date	
Residence: City	Mevasseret Zion	Country	Israel
		Citizenship	Israel
Mailing Address:	46 Hachoshen Blvd. Mevasseret Zion, 90805 ISRAEL		
City	Mevasseret Zion	State	
		ZIP	90805
		Country	Israel

Please type a plus sign (+) inside this box ☐

PTO/SB/02A (11-00)

Approved for use through 10/31/2002. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION

ADDITIONAL INVENTOR(S)

Supplemental Sheet

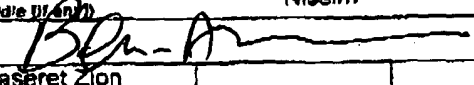
Page 1 of 1

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Nessan		Bermingham	
Inventor's Signature		Date	
Residence: City		State	Country
			USA
Mailing Address:		Citizenship	
		USA	
City		State	ZIP
			Country
		USA	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Bassem		Hassan	
Inventor's Signature		Date	
Residence: City		State	Country
			USA
Mailing Address:		Citizenship	
		USA	
City		State	ZIP
			Country
		USA	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Nissim		Ben-arie	
Inventor's Signature		Date	
Residence: City		State	Country
Mevaseret Zion			Israel
Mailing Address:		Citizenship	
46 Hachoshen Blvd. Mevaseret Zion, 90805 ISRAEL		Israel	
City		State	ZIP
Mevaseret Zion			90805
			Country
		Israel	

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U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1
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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Nessan		Birmingham	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
		USA	USA
Mailing Address:			
City	State	ZIP	Country
			USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Bassem		Hassan	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
		USA	USA
Mailing Address:			
City	State	ZIP	Country
			USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Nissim		Ben-ari	
Inventor's Signature 		Date 2-14-2002	
Residence: City	State	Country	Citizenship
Mevasseret Zion		Israel	Israel
Mailing Address:			
46 Hachoshen Blvd. Mevasseret Zion, 90805 ISRAEL			
City	State	ZIP	Country
Mevasseret Zion		90805	Israel

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EN098494603, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: Mar. 6, 2002 Signature: Susan Hunter
(Susan Hunter)

Docket No.: HO-P01899US3
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Huda Y. Zoghbi, et al.

Application No.: 09/980,381

Filed: November 30, 2001

Customer No. 26271
(09906355/OTA99-47/
LVM 205429)

Group Art Unit: N/A

Examiner: Not Yet Assigned

For: COMPOSITIONS AND METHODS FOR THE
THERAPEUTIC USE OF AN ATONAL-
ASSOCIATED SEQUENCE FOR DEAFNESS,
OSTEOARTHRITIS AND ABNORMAL CELL
PROLIFERATION

POWER OF ATTORNEY

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Baylor College of Medicine, assignee of the entire right, title and interest in the above-identified application hereby appoints the members of the firm of Fulbright & Jaworski L.L.P., a firm composed of:

Melissa W. Acosta	45,872	Paul E. Krieger	25,886	John E. Schneider	31,998
Alberto Q. Amatong, Jr.	41,580	Michael S. McCoy	46,913	Jan K. Simpson	33,283
Ronald G. Bliss	28,691	John M. Mings	35,955	Melissa L. Sistrunk	45,579
Gino Catena	45,546	Thomas D. Paul	32,714	Edward D. Steakley	47,964
Paul L. DeVerter, II	19,747	Jayne C. Piana	48,424	William A. Stout	18,773
Marc L. Delflache	28,942	Doak C. Procter, IV	48,705	Charles B. Walker, Jr.	43,231
David L. Fox	40,612	James W. Repass	30,487	Richard S. Zembek	43,306
Eric B. Hall	46,751	Diana Sangalli-VanSomeren	40,798		

as its attorneys with full power of substitution to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

The assignee certifies that it has reviewed the assignment and to the best of the assignee's knowledge and belief, title is in the assignee.

Please direct all correspondence regarding this application to the following:

FULBRIGHT & JAWORSKI L.L.P.
Attn: Melissa L. Sistrunk
1301 McKinney, Suite 5100
Houston, Texas 77010-3095
Telephone: (713) 651-3735
Fax: (713) 651-5246

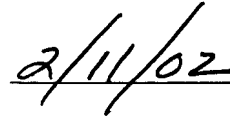
For: Baylor College of Medicine



W. Dalton Tomlin

Senior Vice President & General Counsel

Dated:





73

JANUARY 17, 2001

PTAS

FULBRIGHT & JAWORSKI L.L.P.
MELISSA L. SISTRUNK
1301 MCKINNEY, SUITE 5100
HOUSTON, TEXAS 77010-3095



101518613A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/06/2000

REEL/FRAME: 011235/0910
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ZOGHBI, HUDA Y.

DOC DATE: 10/23/2000

ASSIGNOR:

BELLEN, HUGO J.

DOC DATE: 10/23/2000

ASSIGNOR:

BERMINGHAM, NESSAN A.

DOC DATE: 10/23/2000

ASSIGNOR:

HASSAN, BASSEM

DOC DATE: 10/23/2000

ASSIGNOR:

BEN-ARIE, NISSIM

DOC DATE: 10/22/2000

ASSIGNEE:

BAYLOR COLLEGE OF MEDICINE
TEXAS MEDICAL CENTER
ONE BAYLOR PLAZA
HOUSTON, TEXAS 77030

Received

JAN 24 2001

Docket: 2018991132

Client: BAYLOR

Attorney: MLS.

011235/0910 PAGE 2

SERIAL NUMBER: 09585645
PATENT NUMBER:

FILING DATE: 06/01/2000
ISSUE DATE:

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

11-16-2000

RE



SHEET

To the H 101518613 d Trademarks:
Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Huda Y. Zoghbi Hugo J. Bellen Nessan A. Bermingham Bassem Hassan Nissim Ben-Arie</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies): Name: <u>Baylor College of Medicine</u> Internal Address: <u>Texas Medical Center</u> Street Address: <u>One Baylor Plaza</u> City: <u>Houston</u> State: <u>Texas</u> Zip: <u>77030</u></p> <p>Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: <u>10/22/2000 through 10/23/2000</u></p>	

<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____</p> <p>A. Patent Application No.(s): <u>09/585,645</u> B. Patent No.(s) _____</p> <p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	
<p>5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Melissa L. Sistrunk</u> Internal Address: <u>Fulbright & Jaworski L.L.P</u> Street Address: <u>1301 McKinney</u> <u>Suite 5100</u> City: <u>Houston</u> State: <u>Texas</u> Zip: <u>77010-3095</u></p>	<p>6. Total number of applications and patents involved: <u>ONE</u></p> <p>7. Total fee (37 CFR 3.41): \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>06-2375/Order No. 09906355</u> <small>(Attach duplicate copy of this page if paying by deposit account)</small></p>

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<u>Melissa L. Sistrunk</u> Name of Person Signing	<u>Melissa L. Sistrunk</u> Signature	<u>10/31/00</u> Date
--	---	-------------------------

Total number of pages including cover sheet, attachments, and document 6

/14/2000 MTHAI1 00000171 09585645

FC:581

40.00 OP

5571794.1

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, we HUDA Y. ZOGHBI, a citizen of the United States of America, residing at 6618 Sewanee, Houston, Texas 77005; HUGO J. BELLEN, a citizen of the Belgium, residing at 3411 Mount Vernon, Houston, Texas 77006; NESSAN A. BERMINGHAM, a citizen of the Ireland, residing at 5445 Braesvalley, Apt. 708, Houston, Texas 77096; BASSEM HASSAN, a citizen of Lebanon, residing at 1422 Richmond Avenue, No. 3053, Houston, Texas 77006; and NISSIM BEN-ARIE, a citizen of Israel, residing at 46 Hachoshen Blv., Mevaseret Zion, 90805, Israel; (herein called "Assignors"), are the co-inventors and owners of a certain new and useful invention and improvement(s) entitled "Compositions and methods for the therapeutic use of an atonal-associated sequence for deafness, osteoarthritis, and abnormal cell proliferation" (herein called the "Invention"); and

WHEREAS, Assignors are Faculty, Postdoctoral Fellow and Predoctoral Fellow (herein called "College Personnel") of BAYLOR COLLEGE OF MEDICINE, a non-profit corporation duly organized and existing under and by virtue of the laws of the State of Texas, and having a principal place of business at Texas Medical Center, One Baylor Plaza, Houston, Texas 77030 (herein called "Assignee");

WHEREAS, Assignors represent that they are the sole and only inventors of the Invention and that the Invention was made, conceived and/or reduced to practice while Assignors were serving in their positions of College Personnel of Assignee;

WHEREAS, Assignee is desirous of acquiring and Assignors are desirous of assigning to Assignee all rights to said Invention under the terms and provisions of the policy on Inventions and Patents adopted by the Board of Trustees of Baylor College of Medicine ("Baylor's Patent Policy");

WHEREAS, this assignment is preparatory to a contemplated further licensing by Assignee of the Invention to a corporation or other entity for commercialization of the Invention; and

WHEREAS, Assignors acknowledge that they are assigning their right as part of their obligations as College Personnel, their use of the facilities of Assignee to make the Invention and their desire to receive consideration under the Baylor Patent Policy;

NOW, THEREFORE, Assignors, for and in consideration of the benefits to be accorded including payments to be made to, or equity to be received by, Assignors under Baylor's Patent Policy and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, do hereby SELL, ASSIGN and CONVEY unto Assignee all of Assignors' rights throughout the world in and to:

1. Said Invention;
2. United States of America Patent Application No. 09/585,645 on said Invention filed on June 1, 2000;

3. All applications for patent or like protection on said Invention that have now been or may in the future be filed by Assignors or their legal representatives, whether in the United States of America or any other country or place anywhere in the world;

4. All patents and like protection that have now been or may in the future be granted on said Invention to Assignors or their legal representatives, whether in the United States of America or in any other country or place anywhere in the world;

5. All substitutions for and divisions, continuations, renewals, reissues, extensions, and the like of said applications and patents and like grants, including without limitation, those obtained or permissible under past, present and future law or statutes;

6. All rights of action on account of past, present and future unauthorized use of said Invention and for infringement of said patents and like protection;

7. The right of Assignee to file in its name applications for patents and like protection for said Invention in any country or countries foreign to the United States; and

8. All international rights or priority associated with said Invention, applications, patents and like protection.

Assignors covenant that Assignors, their heirs, legal representatives, assigns, administrators, and executors will, at the expense of Assignee, its successors and assigns, execute all papers and perform such other acts as may be reasonably necessary to give Assignee, its successors and assigns, the full benefit of this Assignment.

This assignment of the Invention is intended to be part of an integrated plan to transfer by means of license or otherwise the Invention to a corporation or other entity for commercialization of the Invention pursuant to the terms of the Baylor Patent Policy. All payments made to, or equity received by, Assignors from any corporation or other entity commercializing the Invention shall be in exchange for Assignors' assignment of the Invention hereunder and pursuant to Assignors' rights under the Baylor Patent Policy.

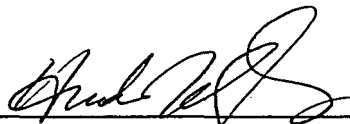
The U.S. Commissioner of Patents and Trademarks is hereby requested to issue U.S. Letters Patent in accordance with this Assignment.

The Patent Offices throughout the world are requested to issue Letters Patent in accordance with this Assignment.

EXECUTED on the date indicated below opposite our signatures.

DATE:

October 23, 2000


HUDA Y. ZOGHBI

THE STATE OF TEXAS

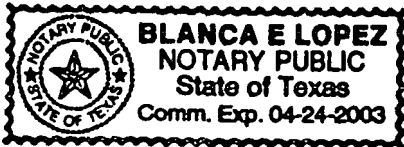
§

COUNTY OF HARRIS

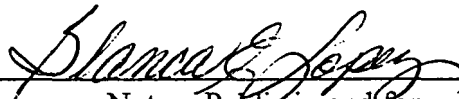
§

§

This instrument was acknowledged by HUDA Y. ZOGHBI, on the 23 day of October, 2000.




My Commission Expires:


Notary Public in and for
the State of Texas

DATE:

October 23, 2000


HUGO J. BELLEN

THE STATE OF TEXAS

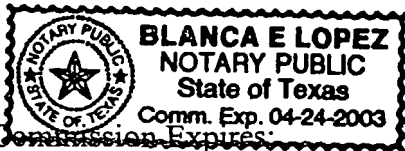
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COUNTY OF HARRIS

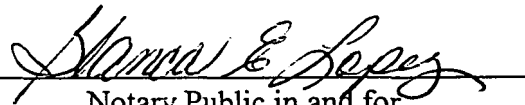
§

§

This instrument was acknowledged by HUGO J. BELLEN, on the 23 day of October, 2000.



My Commission Expires:


Notary Public in and for
the State of Texas

DATE:

October 23, 2000

N. Bermingham

NESSAN A. BERMINGHAM

THE STATE OF TEXAS

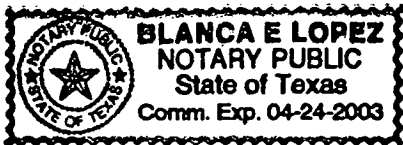
§

§

COUNTY OF HARRIS

§

This instrument was acknowledged by NESSAN A. BERMINGHAM, on the 23 day of October, 2000.



Blanca E Lopez

Notary Public in and for
the State of Texas

My Commission Expires:

DATE:

October 23, 2000

Bassem Hassan

BASSEM HASSAN

THE STATE OF TEXAS

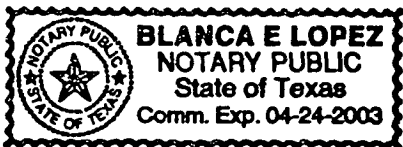
§

§

COUNTY OF HARRIS

§

This instrument was acknowledged by BASSEM HASSAN, on the 23 day of October, 2000.



Blanca E Lopez

Notary Public in and for
the State of Texas

My Commission Expires:

DATE:

NISSIM BEN-ARIE

DATE: _____

NESSAN A. BERMINGHAM

THE STATE OF TEXAS §
 §
COUNTY OF HARRIS §

This instrument was acknowledged by NESSAN A. BERMINGHAM, on the _____ day
of _____, 2000.

Notary Public in and for
the State of Texas

My Commission Expires:

DATE: _____

BASSEM HASSAN

THE STATE OF TEXAS §
 §
COUNTY OF HARRIS §

This instrument was acknowledged by BASSEM HASSAN, on the _____ day of
_____, 2000.

Notary Public in and for
the State of Texas

My Commission Expires:

DATE: Oct 22, 2000



NISSIM BEN-ARIE